Scientific Research Deanship



المملكة العربية السعودية جامعة الملك خالد عمادة البحث العلمي

# POLICES OF THE FUNDED RESEARCH PROGRAMS

### 1. Definitions

**Research Project:** Refers to the completed research overseen and funded by the university under the provisions of these regulations.

 It involves an in-depth and comprehensive study of a specific topic following a detailed plan. Outcomes include a research paper published in accredited databases, a registered patent, or another research product.

**Research Proposal:** A comprehensive scientific and methodological description of the research problem, its importance, objectives, and execution plan. It outlines resources, timelines, human resources, financial needs, and expected outcomes for stakeholders.

**Basic Research:** Experimental or theoretical work aimed primarily at gaining new knowledge without considering specific applications.

**Applied Research:** Research targeting a practical purpose or objective, focusing on acquiring new knowledge.

**Developmental Research:** Structured efforts leveraging existing knowledge or experience to create or improve materials, systems, or products, including new processes or services.

Principal Investigator: A faculty member leading the research team, managing and supervising the project.

**Co-Investigator:** A faculty member collaborating with the research team on a specific study.

**Research Assistant:** A qualified individual supporting the research team in coordinating field and laboratory work.

**Consultant:** A faculty member or expert appointed by the Principal Investigator or the university's research center to provide advisory services for a specific research project.

**Reviewer:** An expert tasked with examining and evaluating research proposals.

**Research Team:** Comprising the Principal Investigator, Co-Investigators, and Research Assistants.

**Graduate Students:** Master's or doctoral students enrolled at King Khalid University or on universitysponsored scholarships domestically or abroad.

**Administrative Staff:** Qualified personnel managing administrative tasks such as transcription, secretarial work, accounting, and coordination.

**Agreement:** Refers to the contract between the Deanship of Scientific Research (represented by the Dean) and the research team (represented by the Principal Investigator).

**Funding:** Financial support provided by the Deanship for implementing a specified research project in compliance with these regulations.

Project Duration: The timeframe set by the Deanship to execute the project.



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**Equipment:** Scientific tools and devices with specific technical performance, excluding office furniture or existing university supplies.

# 2. Objectives of Scientific Research

Research conducted at the university aims to enrich science and knowledge in various fields, focusing on:

- 1. Highlighting the Islamic methodology and its contributions to civilization and human sciences.
- 2. Collecting, indexing, and facilitating access to Arabic and Islamic heritage for researchers.
- 3. Providing solutions to societal challenges through scientific and applied research and responding to government or private sector requests.
- 4. Adapting modern technology to local needs, aiding developmental goals.
- 5. Aligning research activities with university objectives, development plans, and leveraging previous studies.
- 6. Cultivating skilled Saudi researchers by training students and researchers to conduct original research and involving graduate students in scientific endeavors.

# 3. Responsibilities of the Vice Presidency for Graduate Studies and Research

- 1. Supervising the development and implementation of research projects and preparing strategic plans.
- 2. Allocating research funds across university research centers and units.
- 3. Approving research project priorities and associated budgets for the fiscal year.
- 4. Ensuring the integration of research activities across university units and avoiding duplication.
- 5. Overseeing the development and application of monitoring mechanisms for research projects.
- 6. Confirming adherence to methodologies and timelines for funded projects.
- 7. Approving supported projects and ensuring compliance with allocated budgets.

# 4. Responsibilities of the Deanship of Scientific Research

- 1. Offering scientific and technical services to researchers.
- 2. Proposing members for the Deanship's subcommittees.

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- 3. Clarifying strategic goals and directives for researchers.
- 4. Providing technical advice for research proposal preparation.
- 5. Reviewing and coordinating research projects to align with the university's vision and strategic goals.
- 6. Managing submission, screening, and evaluation processes for project approval and funding.
- 7. Reviewing technical and financial reports from funded projects and ensuring compliance with terms.
- 8. Preparing financial models and maintaining project records.
- 9. Ensuring compliance with intellectual property rights and handling outcomes from funded projects.
- 10. Promoting scientific awareness through workshops, lectures, and seminars.

# 5. Responsibilities of the Research Deanship Council

- 1. Proposing the annual research plan and budget for approval by the Scientific Council.
- 2. Suggesting regulations and procedures for managing university research activities.
- 3. Approving and monitoring projects and studies following established regulations.
- 4. Coordinating with external research centers and fostering collaborations.
- 5. Encouraging interdisciplinary research to optimize resource utilization.
- 6. Recommending research publications in accordance with university publishing policies.
- 7. Facilitating a supportive environment for researchers to conduct innovative research.
- 8. Connecting with local and international research entities to foster knowledge exchange.
- 9. Submitting annual reports on university research activities to the Vice President for Graduate Studies and Research.
- 10. Overseeing externally funded research within its jurisdiction.
- 11. Addressing matters referred by university authorities.

# 6. Responsibilities of University's Research Centers

- 1. Proposing the annual research plan and preparing the necessary budget.
- 2. Reviewing and monitoring the implementation of research projects proposed by faculty members and affiliates.

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- 3. Assessing research projects requested by external entities, assigning qualified researchers, and following up on implementation.
- 4. Recommending expenditures from the allocated research budget within regulated boundaries.
- 5. Endorsing the annual report and final account for submission to the relevant authorities.
- 6. Addressing issues referred by the Deanship of Scientific Research Council.

Responsibilities of Research Center Directors:

- 1. Supervising and monitoring the progress of faculty research and assisting researchers.
- 2. Coordinating with academic departments to encourage research, facilitate research activities, and ensure effective dissemination.
- 3. Collaborating with other research centers internally and externally to support research projects.
- 4. Preparing an annual report on the center's activities and presenting it to the Deanship of Scientific Research.

# 7. Research Programs of the Deanship of Scientific Research at King Khalid University

- 1. Literature Review Program: An annual program to support proposed projects by faculty members for literature reviews in accordance with the regulations and procedures approved by the Deanship of Scientific Research.
- 2. **General Research Program**: An annual general program to support proposed research projects by faculty members in accordance with the regulations and procedures approved by the Deanship of Scientific Research.
- 3. **Research Group Support Program**: The Deanship of Scientific Research launches the Research Group Program, which contributes to proposing solutions to urgent issues and problems, expected to have developmental value and align with the university's research goals. These groups consist of multiple researchers and cover theoretical and applied aspects. This type of project aims to partner with prestigious local and international universities and research centers. The deanship may propose specific issues as frameworks for submitting research projects. The funding for this program depends on its importance and expected scientific outcomes, subject to the university's approval.
- 4. **Unfunded Research Reward Program**: This program aims to support scientific publication for faculty members who have published research in scientific journals indexed in the Expanded Science Citation Index or the Social Science Citation Index issued by Clarivate Analytics.
- 5. Graduate Students Research Support Program:

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(A) Graduate students enrolled at King Khalid University or domestically sponsored: This program aims to support and encourage scientific publication for graduate students at the university, as stated in Paragraph (7) of Article 12 of these rules and the procedural manual.

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**(B) University-sponsored students studying abroad:** The program supports and encourages classified scientific publication, as stated in Article 12, Paragraph (7) of these rules, for graduate students sponsored by the university to study at foreign universities for master's or doctoral degrees. Publications must acknowledge King Khalid University in the affiliation and comply with the procedural manual.

- 6. **Incentive Awards Program for Scientific Research:** This program aims to support and encourage distinguished faculty members and researchers at the university in classified scientific publication, according to Article 12 of these rules.
- 7. **Newly Introduced Programs:** New research programs may be introduced as needed, subject to the approval of the Deanship of Scientific Research Council and the competent authority, provided they do not conflict with the unified regulations for scientific research at Saudi universities and the rules governing research program support at the university.

# 8. Conditions for Applying to Research Programs

- 1. The research team must consist of faculty members or equivalent, with the principal investigator affiliated with King Khalid University.
- 2. The principal investigator must adhere to the deadlines for submitting research project proposals within the timeframes specified by the deanship.
- 3. Commitment to research ethics and scientific integrity approved by the Deanship of Scientific Research, particularly ethics involving living organisms. Necessary permissions must be obtained before conducting experiments. The university bears no responsibility for such experiments.

# 9. Funding Regulations for Supported Research Projects

Research projects are funded according to the following regulations:

- 1. The principal investigator must sign the project agreement and terms with the Deanship of Scientific Research according to the organizational rules and procedural guides.
- 2. The project's duration is specified in the contract based on the type of research program and allocated budget.
- 3. If the Deanship of Scientific Research grants an extension for the project duration, no additional rewards will be paid to the research team for the extended period.

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- 4. A research project is not considered eligible for support and funding until the project budget is approved by the Deanship of Scientific Research.
- 5. Funding is provided only under the conditions and obligations specified in the agreement between the university (first party) and the research team (second party), as outlined in these rules.
- 6. The second party may not change the following without prior approval from the first party:
  - Changing the principal investigator.
  - Adding or replacing a participating researcher, consultant, or assistant.
  - Modifying the allocation of approved financial resources within the research budget.
- 7. The right to claim disbursement of due payments from the project budget is forfeited if the supported researcher fails to submit the required reports and publications within the agreed timelines.
- 8. If contract terms are breached, or based on reviewers' reports, the Deanship of Scientific Research may suspend funding or take appropriate actions.
- 9. In case of project delays or termination without acceptable justification, the project will be financially liquidated. All disbursed and remaining funds must be returned to the Deanship's account. The research team forfeits any further claims, and the principal investigator is barred from participating in new research projects for two years. The deanship reserves the right to assign another research team to complete the project if deemed necessary.
- 10. Savings from funded research projects are returned to the Deanship's budget for use in other research purposes and programs.

# **10.** Rewards for Researchers and Reviewers

Rewards are provided to principal investigators, participating researchers, consultants, research assistants, administrators, and technicians according to Article (12) of the unified regulations for scientific research at Saudi universities.

# 11. Research Expenses for Equipment, Materials, and Sample Analysis

#### **11.1.** Regulations for purchasing research requirements:

- 1. For expenses of SAR 3,000 or less: The principal investigator can purchase directly with an approved invoice.
- 2. For expenses exceeding SAR 3,000 but less than SAR 10,000 per item: Purchases require a price quotation and an approved invoice.

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- 3. For expenses of SAR 10,000 or more per item: Purchases require three price quotations, an approved invoice, and the signature of the Dean of Scientific Research.
- 4. Research expenses include all costs related to scientific research, such as sample analysis, survey validation, chemical materials, consumables, research equipment, statistical services, and publication fees. The deanship reserves the right to add or remove expenses based on each research program's requirements.

#### **11.2.** Compliance with regulations for handling hazardous chemicals:

- 1. Researchers must adhere to the university's regulations and procedures for procuring chemicals, equipment, and devices for supported research projects.
- 2. Safety standards must be applied to all equipment, materials, and chemicals used in supported research projects.
- 3. The principal investigator must complete the form related to the safe storage and use of chemicals, providing required data with invoices and documented information about purchased items, storage methods, and usage management, as per Form 1 (Chemical Safety Form).
- 4. Upon project closure, the principal investigator must complete the form regarding the safe disposal of consumed chemicals, detailing the quantities used, remaining materials, their types, and storage/disposal methods as per Form 2 (Chemical Disposal Form).

# **12.** Regulations for Scientific Publication of Research Programs

- If a project is classified as confidential or private, and the researcher wishes to publish some or all project's results, intellectual property rights of the university and the participants must be protected. This includes registering such rights as per relevant regulations before initiating scientific publication and obtaining necessary approvals from related parties.
- 2. The Deanship of Scientific Research has the authority to determine the number of research publications required for each program, based on the contract signed with the principal investigator.
- 3. Published research must include an acknowledgment statement referring to "Deanship of Scientific Research King Khalid University," as outlined in the procedural guides for each research program.
- 4. All researchers affiliated with King Khalid University must indicate their affiliation with the university in their published research.
- 5. The Deanship of Scientific Research reserves the right to withhold the publication of research project results if deemed in the public interest.
- 6. The journal in which the outputs of the research project supported by the deanship are published must be indexed in one of the databases and indexes specified for each discipline in the table below.

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Spe	cilaity	Indexing	
-	Basic Sciences Health sciences Engineering and computer sciences	Science Citation Index Expanded Arts & Humanities Citation Index Social Sciences Citation Index Scopus	
• •	Educational Sciences Administrative Sciences Humanities Legal Sciences	Science Citation Index Expanded Arts & Humanities Citation Index Social Sciences Citation Index Scopus DOAJ EBSCO	

# **13. Intellectual Property Rights**

- 1. All intellectual property rights are registered in favor of King Khalid University. Researchers are required to sign the necessary documents or paperwork for registration upon request by the Deanship of Scientific Research.
- An agreement is established between the involved parties regarding the distribution of financial returns from intellectual property rights, obligations, or other financial entitlements. The regulations of the Saudi Authority for Intellectual Property serve as a reference for such agreements.
- 3. Researchers are obliged to inform the university/Deanship of Scientific Research about any inventions, designs, improvements, or discoveries obtained during or after the project execution, provided these outcomes are based on knowledge gained through the research project funded by the Deanship.

# 14. Academic Integrity and Research Ethics

- 1. Each member of the research team is responsible for their specific contributions to the funded project.
- 2. The research team must adhere to the academic integrity and research ethics approved by the Deanship of Scientific Research.

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- Confidentiality must be maintained regarding any secrets, information, or content related to the project. This includes techniques, compositions, or any inventions made during the project, which are considered the sole property of King Khalid University.
- 4. Violations of academic integrity will be addressed per the Deanship's approved Research Ethics and Academic Integrity Regulations, without excluding legal actions against violators.

### **15. General Provisions**

The Unified Bylaw for Scientific Research in Universities serves as a reference for any matters not covered by these regulations. Additionally, the rules and regulations outlined here take precedence over any conflicting contractual terms with researchers.

### **16. Implementation and Validity**

These rules come into effect upon their approval by the Scientific Council and override any previous conflicting rules.

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# **Procedural Guidelines**

## **Literature Review Program**

#### A. Conditions for Submitting a Research Project under the Literature Review Program

- 1. The principal and participating researchers must be faculty members at King Khalid University who are actively employed (not on sabbatical, secondment, or scholarship).
- 2. The research project must not have been previously published, funded by the university, or supported by other entities, nor submitted for funding elsewhere.
- 3. Researchers who have not completed the closure procedures for their previous projects are not permitted to apply for this program unless granted an exception by the Deanship of Scientific Research.
- 4. If needed, the principal researcher may involve up to three co-researchers and three research assistants, either from within or outside the university.
- 5. If deemed necessary, one consultant may be included. The consultant's resume and a detailed work plan must be attached to the project proposal and approved by the Deanship of Scientific Research.

#### **B. Funding Regulations for Literature Review Research Projects**

- 1. The principal researcher must submit the proposal through the online scientific research system using the approved template.
- 2. The Deanship of Scientific Research conducts a technical review of proposals, sends them for peer review, and submits accepted proposals to the Deanship Council for recommendation and subsequent approval by the authorized party.
- 3. Upon completing the required number of publications before the contract's end, the research team may request project closure and receive full funding during the announced closure periods.
- 4. Project budgets are determined at the time of contract signing between the principal researcher and the Deanship of Scientific Research. Peer reviewers receive a compensation of up to 500 SAR per proposal, with a maximum of 3000 SAR if additional reviewers are required.
- 5. The project duration is limited to 12 months from the contract's start date, extendable based on project needs, with no compensation for the extension period.
- 6. Researchers, assistants, and consultants receive compensation as per the unified scientific research regulations and Article 10 of the governing rules for research programs.
- 7. The expenses of the research project for the principal investigator are disbursed by the Deanship of Scientific Research at the university as follows:

First payment:

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The first payment is disbursed upon signing the project contract with the Deanship of Scientific Research, and the value of the first payment is determined upon signing the contract between the principal investigator and the Deanship of Scientific Research. The Deanship of Scientific Research has the right not to disburse a first payment, if necessary, in which case the expenses of the research project will be disbursed when the closing conditions are met.

Final payment:

The second and final payment is disbursed after the submission of the final report (technical and financial) of the project, and when the conditions for closing the research project are met.

- 8. No item of the budget may be added or changed after its approval, and the budget may be transferred between budget items subject to the prior approval of the deanship, and in case of violation, the researcher shall bear the financial differences of the change.
- 9. No member of the research team may be changed except for reasons accepted by the Deanship of Scientific Research in accordance with the rules governing this.
- 10. The Deanship of Scientific Research may, for considerations of public interest, cancel the contract based on written notification to the second party.
- 11. The principal investigator is obligated to publish in journals listed in the Science Citation Index or Social Science Citation Index published by Clarivate or in Impact Factor peer-reviewed journals listed in Scopus databases for all disciplines.
- 12. The following paragraph is written in the form of Acknowledgements "The authors extend their appreciation to the Deanship of Scientific Research at King Khalid University for funding this work through Review Research Project under grant number (project number/Academic year)"
- 13. More than one acknowledgment and grant number can be added for research organizations inside or outside the Kingdom that have provided either financial or technical support. In this case, you must coordinate with the Deanship of Scientific Research and obtain written approval from the Deanship to avoid any legal issues that may arise due to intellectual property rights and copyrights.
- 14. No more than one acknowledgment and grant number can be added for research entities within KKU or research programs supported by an entity or entities affiliated with KKU.
- 15. The researcher must hand over all devices, equipment and covenants secured for the project to KKU represented by the Warehouses and Inventory Control Department, unless the deanship deems otherwise, as required by the public interest.
- 16. The research project shall be closed as stipulated in these rules. 7 The expenses of the research project shall be disbursed to the principal investigator from the Deanship of Scientific Research at the university as follows:

# **General Research Program**

#### A. Conditions for Submitting a Research Project under the General Research Program

- 1. The principal and participating researchers must be faculty members at King Khalid University who are actively employed (not on sabbatical, secondment, or scholarship).
- 2. The research project must not have been previously published, funded by the university, or supported by other entities, nor submitted for funding elsewhere.

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- 3. Researchers who have not completed closure procedures for previous projects are not eligible unless an exception is granted.
- 4. The principal researcher may involve up to three co-researchers and three research assistants from within or outside the university if needed.
- 5. If deemed necessary, one consultant may be included with an attached resume and work plan approved by the Deanship of Scientific Research.

#### **B. Funding Regulations for General Research Projects**

- 1. The principal researcher submits the research proposal through the online system using the approved template.
- 2. The Deanship of Scientific Research reviews, sends proposals for evaluation, and submits accepted ones for funding approval.
- 3. Upon completing the required publications, the research team can request project closure and receive full funding.
- 4. Project budgets include a peer reviewer compensation of up to 500 SAR per proposal, with a maximum of 3000 SAR if additional reviewers are necessary.
- 5. The project duration is limited to 12 months from the contract signing date, extendable without additional compensation for the extension period.
- 6. Compensation and funding disbursements follow the unified scientific research regulations and the program's rules.
- 7. Research expenses are paid to the principal investigator by the Deanship of Scientific Research at the university as follows:

#### The first payment:

The first payment is disbursed upon signing the project contract with the Deanship of Scientific Research, and the value of the first payment is determined upon signing the contract between the principal investigator and the Deanship of Scientific Research. The Deanship of Scientific Research has the right not to disburse the first payment, if necessary, in which case the expenses of the research project will be disbursed when the closing conditions are met.

#### Final payment:

The second and final payment is disbursed after the submission of the final report (technical and financial) of the project, and when the conditions for closing the research project are met.

- 8. No item of the budget may be added or changed after its approval, and the budget may be transferred between budget items subject to the prior approval of the deanship, and in case of violation, the researcher shall bear the financial differences of the change.
- 9. It is not permissible to change any member of the research team except for reasons accepted by the Deanship of Scientific Research in accordance with the rules governing this.

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- 10. The Deanship of Scientific Research may cancel the contract based on considerations of public interest upon written notification to the second party.
- 11. The principal investigator undertakes to publish at least one scientific research from the supported project in peer-reviewed scientific journals as follows:

Health sciences, Science and Engineering	Science Citation Index Expanded	
	Social Science Citation Index	
Theoretical, humanities, legal and administrative sciences	DOAJ	
	EBSCO	
	Scopus	
	Social Science Citation Index	
	Science Citation Index Expanded	

12. The following paragraph is written in the paper in the form of Acknowledgements "This research was supported by the General Research Program at the Deanship of Scientific Research - King Khalid University - Kingdom of Saudi Arabia (project number/year of support in Hijri date)."

In papers to be published in English, the following statement is written. "The authors extend their appreciation to the Deanship of Scientific Research at King Khalid University for funding this work through General Research Project under grant number (project number/Academic year)".

- 13. It is possible to add more than one phrase of thanks and grant number (Grant number) to research entities inside or outside the Kingdom that have contributed financial support or provided other research facilities to the research group, and in this case, it must be coordinated with the Deanship of Scientific Research and obtain written approval from the Deanship, to avoid any legal issues that may arise due to intellectual property rights and copyrights.
- 14. It is not possible to add more than one acknowledgment and grant number for research entities within KKU or research programs supported by an entity or entities affiliated with KKU.
- 15. The researcher must hand over all devices, equipment and covenants secured for the project to KKU represented by the Warehouses and Inventory Control Department, unless the deanship deems otherwise, as required by the public interest.
- 16. The research project shall be closed as stipulated in these rules.

# **Research Groups Program**

B. Conditions for Submitting Research Group Projects

Research groups are categorized into two types:

1. Small Research Groups:

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The project duration is 12 months from the contract's start date, extendable without additional compensation for the extension period.

- 1. The principal researcher is required to publish the minimum number of agreed-upon papers in journals indexed in the *Science Citation Index Expanded* or the *Social Science Citation Index* issued by Clarivate Analytics. Publications should also include Scopus-indexed journals or equivalent for relevant disciplines.
- 2. Upon completion of publishing the required number of research papers, before the expiration of the contract period, the research team has the right to apply
- 3. to close the research project and receive full support during the times announced by the Deanship of Scientific Research.
- The budget of the research project is determined at the time of signing the contract between the PI and the Deanship of Scientific Research, including a remuneration for one referee, not to exceed) 500 riyals for one research proposal and no more than 3000 riyals when needed.

#### 2. Large Research Groups:

The project duration is 12 months, extendable based on project needs without additional compensation for the extension period.

- 1. The principal researcher must publish the minimum number of agreed-upon papers in journals indexed similarly to those for small research groups.
- 2. Upon completion of publishing the required number of research papers, before the expiration of the contract period, the research team has the right to apply to close the research project and receive full support during the times announced by the Deanship of Scientific Research.
- The budget of the research project is determined at the time of signing the contract between the PI and the Deanship of Scientific Research, including a remuneration for one referee, not to exceed) 500 riyals for one research proposal and no more than 3000 riyals when needed
- 4. Researchers who have completed the requirements for closing the research groups granted to them may be supported with other groups from research programs approved by the Deanship, and this is approved by the Council of the Deanship of Scientific Research.
- 5. The Council of the Deanship of Scientific Research may support researchers interested in qualitative projects that are in line with the university's strategy for research and innovation in more than one program or research group, in a manner that achieves the contents of the national strategy for the research, development and innovation sector.

#### 3. General Requirements for Research Groups

1. The principal researcher must be affiliated with King Khalid University and actively employed (not on sabbatical, secondment, or scholarship).

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# 2. Research groups can include members from different university departments or colleges, and external participants if needed.

- 3. A name must be chosen for the research group that reflects its field and specialization.
- 4. Distinguished foreign experts may be included without the requirement of their physical presence in Saudi Arabia.
- 5. Team members may participate in other funded programs, provided they meet the independent publication requirements for each project.
- 6. All team members must adhere to research ethics, integrity, and publication guidelines.
- 7. For studies involving living organisms, researchers must comply with national and university ethics guidelines and obtain necessary permits.
- 8. Research assistants may be involved as needed, with a limit of three per project.

### C. General Application Procedures

- 1. The application for the Research Groups Support Program is done through the e-Portal of the Deanship of Scientific Research, according to the approved regulations
- 2. In case of need, the deanship has the right to send project proposals for arbitration.
- 3. The proposed research projects are arbitrated according to the following procedures
  - The Deanship of Scientific Research at the university sends the research proposal confidentially to a specialized arbitrator for evaluation and comments. If necessary, a second arbitrator may be utilized.
  - The arbitrators shall evaluate the research projects on the form prepared for this purpose by the Deanship of Scientific Research.
  - The Deanship of Scientific Research shall inform the researchers of the arbitrators' opinions when they are received to take them into consideration for projects accepted for support and inform researchers whose research proposals have been rejected.
  - Submitting projects accepted for support after arbitration to the authority to approve them for funding.
- 4. No item of the budget shall be added or changed after its approval, and any item of the budget may be transferred to another item provided that prior approval from the deanship, and in case of violation, the principal investigator shall bear the financial differences of the change.
- 5. At the request of the PI not to continue one or more of the participants and propose appropriate alternatives. The research project continues with its approved team until its completion in case the deanship does not approve the request.
- 6. If the principal investigator is unable to complete the research for any reason, Paragraph (10) of Article (9) of the rules governing research programs will be applied.
- 7. The Deanship of Scientific Research, as the first party, may cancel the contract based on considerations of public interest upon written notification to the second party.
- 8. The Principal Investigator is obliged to submit a technical report if requested by the Deanship of Scientific Research about all aspects of the project's previous achievements, and the work to be accomplished in the next period.

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# Kingdom of Saudi Arabia King Khalid University

Scientific Research Deanship



# المملكة العربية السعودية جامعة الملك خالد

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عمادة البحث العلمى

- 9. If there are any obstacles facing the research team, the PI must communicate with the Deanship of Scientific Research directly to find solutions.
- 10. All intellectual property rights resulting from this project are subject to the policies and procedures adopted by King Khalid University.
- 11. The official name of the university must be included first in the researcher's affiliation in the approved format (in Arabic جامعة الملك خالد, in English King Khalid University) in any scientific papers published from the research project.
- 12. In the Acknowledgements, the thanks of the Deanship of Scientific Research King Khalid University must be indicated and mention the project number and the year of support (Project number / academic year).
- 13. Researchers can add more than one statement of acknowledgement and Grant Number (Grant Number) to research bodies inside or outside the Kingdom that have financial and technical support to the research group, and in this case researchers must coordinate with the Deanship of Deanship of Scientific Research and obtain written approval to avoid any legal issues that may arise due to intellectual property rights and copyrights.
- 14. It is not possible to add more than one acknowledgment and grant number (Grant Number) for research entities within KKU or research programs supported by an entity or entities affiliated with King Khalid University.
- 15. All devices, equipment, and contracts secured for the research project belong to the Warehouses and Inventory Control Department, unless the deanship deems otherwise in accordance with public interest.
- 16. The intellectual property for any patents or any research product belongs to the Deanship of Scientific Research at King Khalid University as the supporting organization. The principal researcher and the research group are not entitled to act on this without referring to the Deanship of Scientific Research.
- 17. The articles of the Unified Regulations for Scientific Research in Saudi Universities, and what is approved by the Council of the Deanship of Scientific Research and the Scientific Council, as well as the organizational rules, procedures and clauses of the project contract between the principal researcher and the Deanship of Scientific Research are basic parts of the proceedings and the researcher must abide by the above-mentioned rules.

#### D. Procedures for Closing the Research Group Support Program

To close the research group project and broadcast the final payment of the budget, the principal investigator, after submitting the periodic reports (technical and financial) on his account in the Scientific Research Portal, must provide the deanship with the following.

#### 1. A copy of the following attachments

- $\circ$   $\;$  The final financial report including what was spent on the research according to the relevant form.
- o Invoice Inventory Form
- $\circ$  Invoices.
- $\circ$   $\;$  Receipts for the Principal Investigator and research participants as per the template.
- Attach evidence of the migration of budget items, if there is a migration in the items approved by the researcher in the research plan provided, that the deanship has approved this before the carryover. The disbursement (carryover) must be from item to item within the plan previously approved by the Deanship of Scientific Research; and must not include adding a new item to the already approved plan.

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عمادة البحث العلمى

- Evidence of a change in one of the research participants if there is a change, provided that the deanship has been approved before the change.
- 2. The technical report including hard copy (copies) of the published scientific papers or letter of unconditional acceptance together with the last read proof may be sufficient. The principal investigator is responsible for submitting a copy of the final research article once published to the Deanship of Scientific Research.
- 3. Article (11) of the rules governing research expenses for the purchase of equipment, materials, and sample analysis.
- 4. Purchase invoices for the research project shall be either in Saudi Riyals or US dollars, and no other currency shall be accepted.
- 5. At the time of closing the research project, photocopies of the IDs of the co-investigators, the advisor and research assistants, and a copy of the research project proposal.
- 6. The original documents shall be delivered to the Deanship of Scientific Research.

Installment	Condition(s)	Installment value
First installment	<ul> <li>Pass the evaluation process</li> <li>Signing the contract</li> </ul>	Upon the agreement between the principal investigator and the deanship of scientific research.
		The deanship has the right not to pay any installments in advance of project completion.
Second installment	<ul> <li>Publication /acceptance for publication the minimal number of research papers (Final acceptance letter)</li> <li>Submission of final technical report</li> <li>Finishing the project closing procedure.</li> </ul>	The rest of contract value

#### E. Payment rules and steps

# Program for Unfunded Research

Conditions for Accepting Publications under the Program for Unfunded Research

1. Researchers must submit an electronic and printed version of their publications to the Deanship of Scientific Research. The publications must be in journals indexed in the *Science Citation Index Expanded* or the *Social Science Citation Index*.

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Scientific Research Deanship

- 2. The research must not have been funded by any governmental or university body within Saudi Arabia.
- 3. For articles published in *Science* or *Nature*, a reward of 50,000 SAR is provided per article.
- 4. For articles published in other approved journals, a reward of 3000 SAR is provided.

جامعـة المـلك خـالد KING KHALID UNIVERSITY

- 5. Funding approval is subject to the Deanship's committee decisions.
- 6. Publications must include the acknowledgment:
  - For Arabic publications: "The researcher extends gratitude to King Khalid University for administrative and technical support."
  - For English publications: "The authors would like to express their gratitude to King Khalid University, Saudi Arabia for providing administrative and technical support."
- 7. The university must be the primary affiliation listed for all researchers.